

FIGHTING FINANCIAL CRIME



22nd September 2011
DLA PIPER, LONDON

PLEASE BOOK ONLINE AT
www.abfa.org.uk/publiccdb/select_event.asp

COURSE DETAILS

LOCATION

The course will be held at the offices of DLA Piper, 3 Noble Street, London EC2V 7EE

Tel: 0870 011 1111

Full details and directions will be sent to delegates on registration. These documents can also be downloaded from the website at www.abfa.org.uk/publiccdb/select_event.asp.

DURATION ½ day
(10am – 12.30pm followed by lunch)

COST
£150 Members,
£300 Non Members
(This includes course materials and refreshments).

MAXIMUM NUMBER
24

DRESS CODE
Smart casual

FOLLOW UP COURSE:
Fraud & Loss Prevention

WHO SHOULD ATTEND?

This advanced course has been developed, at the request of the ABFA AML group, to provide additional training and advice to Money Laundering Reporting Officers (MLRO's) and any other staff responsible for the monitoring and reporting of any suspicious financial activity. The course will be aimed at staff who already have a sound understanding of Anti Money Laundering regulations and is designed to enhance that understanding and provide the opportunity to ask questions of experts and share experiences with others in a similar role.

WHAT STUDENTS WILL LEARN?

Delegates will learn some of the key areas for consideration when evaluating risks to businesses in the receivables financing sector, as well as ways to ensure that the business is complying with its legal obligations. Delegates will also be given an update on the UK's new Bribery Act and developments in AML practices and procedures, including the implications of financial sanctions regimes.

COURSE CONTENT

The topics to be covered will include:

- Bribery and corruption policies, procedures and investigations; the interaction with the money laundering regime and a brief comparison with the US Foreign Corrupt Practices Act ("FCPA")
- A few interesting features of the Fraud Act 2006
- Managing high risk situations and SARs
- A brief view of the applicability of the US Foreign Accounts Tax Compliance Act ("FATCA")
- EC draft regulation regarding Europe-wide freezing orders ("European Account Preservation Orders")
- An update on current sanctions risks for the financial services sector.
- A guide to the core elements of international financial sanctions regimes.
- An introduction to the key components of any compliance framework aimed at meeting the regulatory challenge.

GUEST SPEAKERS

Simon Airey, DLA Piper

Simon was a barrister in private practice for 12 years before joining DLA Piper and has extensive experience of a broad range of litigation. He specialises in tax and fraud investigations, bribery and corruption, and corporate compliance issues. He acts in associated litigation and advises clients under investigation by various enforcement authorities, including the Serious Fraud Office, HM Revenue & Customs and their overseas equivalents. He is currently advising a number of multinational groups in relation to their UK and global anti-bribery and corruption programmes and has lectured extensively in the US and Europe in relation to the UK's new Bribery Act. Simon was recently involved in the largest case ever prosecuted by the Serious Fraud Office which was abandoned by the SFO following a successful appeal to the House of Lords. He has also recently successfully represented the Finance Director of a UK PLC under investigation for false accounting and is currently involved in one of the UK's most high profile cases involving the supply of arms to Iraq in breach of UK licensing laws and UN sanctions. Simon has advised one of the world's largest investment banks in relation to a major FSA investigation relating to money laundering compliance deficiencies. He was co-opted to the bank's Policy Committee and re-drafted the Anti-Money Laundering Policies and Procedures Manual.

John Forrest, DLA Piper

John joined DLA Piper in August 2008 from the UK Government, where he was the head of the international trade and investment team. He has over 15 years experience in advising UK ministers, financial institutions and large corporations in relation to the development and implementation of international sanctions and advises a range of clients on the complex regulatory framework, meeting compliance obligations and managing investigations and enforcement proceedings. John regularly advises on matters relating to financial sanctions, trade embargoes, trafficking and brokering controls and international trade and investment issues. He is currently advising a range of international banks, asset management companies, commercial finance providers and insurance firms on compliance with UK, EU, US and wider UN sanctions measures - including recent measures adopted in relation to Libya, Iran, Egypt and Syria.

CANCELLATION POLICY FOR DAY COURSES

- Any changes or cancellations to bookings must be made in writing (email is acceptable).
- All cancellations must be received at the ABFA 45 working days before the start of the Course to qualify for a full refund.
- Written cancellations 30 working days before the start of the Course will be subject to a 50% refund.
- No refund is possible for any cancellations made after 30 working days from the start of the Course.
- We are happy to welcome a substitute delegate at any time.